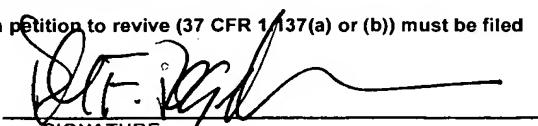


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|---|---|---|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER APT 02.01 |
| | | U.S. APPLICATION NO. (Unknown, see 37 CFR 1.5) 107528386 |
| INTERNATIONAL APPLICATION NO. PCT/US2003/29204 | INTERNATIONAL FILING DATE 19 September 2003 | PRIORITY DATE CLAIMED 19 September 2002 |
| TITLE OF INVENTION BEAM PLASMA SOURCE | | |
| APPLICANT(S) FOR DO/EO/US MADOCKS, JOHN | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: International Search Report and Written Opinion</p> | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|--|-------------------------------|---|---------------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER |
| 107528386 | PCT/US2003/29204 | | APT 02.01 |
| The following fees have been submitted | | | |
| 21. <input checked="" type="checkbox"/> Basic national fee..... | | \$300 | CALCULATIONS PTO USE ONLY |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... | | \$100 | \$ 300.00 |
| All other situations..... | | \$200 | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... | | \$100 | |
| International Search Report prepared and provided to the Office..... | | \$400 | |
| All other situations..... | | \$500 | |
| TOTAL OF 21, 22 and 23 = | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE |
| 35 - 100 = | /50 = | | x \$250 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total claims | 19 - 20 = | | x \$ 50 |
| Independent claims | 4 - 3 = | 1 | x \$200 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 |
| TOTAL OF ABOVE CALCULATIONS = \$ 800.00 | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | |
| SUBTOTAL = \$ 400.00 | | | |
| *Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | |
| TOTAL NATIONAL FEE = \$ 400.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | |
| TOTAL FEES ENCLOSED = \$ 400.00 | | | |
| | | Amount to be refunded: | \$ |
| | | Amount to be charged: | \$ |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>502262</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | |
| SEND ALL CORRESPONDENCE TO: Dale F. Regelman Law Office of Dale F. Regelman, P.C. 4231 S. Fremont Avenue Tucson, AZ 85714 Telephone No. (520) 741-7636 Facsimile No. (520) 746-9114 | | | |
|  SIGNATURE Dale F. Regelman NAME 45,625 REGISTRATION NUMBER | | | |

10/528386
Docket No.: APT 02.04

JC05 Rec'd PCT/PTO 17 MAR 2005

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. EV 507655810 US
Date of Deposit March 17, 2005

I hereby certify that this paper and the Transmittal Letter to the United States Designated/Elected Office, the papers listed thereon, Form PTO-2038, and return prepaid postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to MAIL STOP PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing: Reena Mendez
Name of person mailing: Reena Mendez

10/528386

JC05 Rec'd PCT/PTO 17 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: MADOCKS

INTERNATIONAL

FILING DATE: PCT/US2003/29204

INTERNATIONAL

FILING DATE: 19 SEPTEMBER 2003 (19.09.03)

PRIORITY DATE

CLAIMED: 19 SEPTEMBER 2002 (19.09.02)

FOR: BEAM PLASMA SOURCE

DOCKET: APT 03.01

MAIL STOP PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

SUBMISSION OF INCOMPLETE APPLICATION

Dear Sir:

Submitted herewith is a transmittal letter and accompanying documents which are incomplete due to the omission of a Combined Declaration and Power of Attorney. The Applicant is John Madocks, 110 E. Yvon Drive, Tucson, Arizona 85704, an American citizen. Other details will be found on the transmittal letter.

Respectfully submitted,

Dale F. Regelman
Attorney for Applicant
Reg. No. 45,625

10/528386

JC05 Rec'd PCT/PTO 7 MAR 2005

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EV 507655810 US
Date of Deposit: March 17, 2005

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to: MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name of person mailing Reena Mendez

Signature of person mailing 